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Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	441/1/005
	First Inventor	Shinichi OKAMOTO et al
	Title	METHOD OF MANUFACTURING PART FOR OPTICAL FIBER CONNECTOR
	Express Mail Label No.	ET113254103US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 13] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5] (Figs. 1-8)	ACCOMPANYING APPLICATION PARTS
5. Oath or Declaration [Total Pages 1] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d))b. <input type="checkbox"/> (for continuation/divisional with Box 18 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [Power of Attorney] (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [1] Copies of IDS Citations 13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		170 (Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Richard M. Goldberg				
Address	25 East Salem Street Suite 419				
City	Hackensack	State	NJ	Zip Code	07601
Country	USA	Telephone	201-343-7775	Fax	201-488-3884

Name (Print/Type)	Richard M. Goldberg	Registration No. (Attorney/Agent)	28,215
Signature	Richard M. Goldberg	Date	NOV. 19, 2001

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$)**450.00**

Complete if Known

Application Number	
Filing Date	November 19, 2001
First Named Inventor	Shinichi OKAMOTO et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	441/1/005

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **07-1524**
- Deposit Account Name **Richard M. Goldberg**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 370

2. EXTRA CLAIM FEES

Total Claims **6** -20** = **0** X **0** = **0**

Independent Claims **1** -3** = **0** X **0** = **0**

Multiple Dependent =

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0**

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	80
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**80**

SUBMITTED BY

Name (Print/Type)	Richard M. Goldberg	Registration No (Attorney/Agent)	28,215	Telephone	201-343-7775
Signature	<i>Richard M. Goldberg</i>	Date	Nov. 19, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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(201) 343-7775

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MEMBER NEW JERSEY AND
NEW YORK BARS

November 19, 2001

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Re: New U.S. Patent Application
Applicants: Shinichi OKAMOTO and
Hisashi OOSHIMA
Title : METHOD OF MANUFACTURING PART FOR
OPTICAL FIBER CONNECTOR
Our File : 441/1/005

Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": ET113254103US
Date of Deposit : NOVEMBER 19, 2001
I hereby certify that this paper and/or fee is being deposited with
the United States Postal Service "Express Mail Post Office to
Addressee" service under 37 C.F.R. 1.10 on the date indicated above
and is addressed to the ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231 on NOVEMBER 19, 2001.

Richard M. Goldberg
(Name of Registered Representative
and person mailing)

Richard M. Goldberg Nov 19,
(Signature and Date) 2001

Dear Sirs:

Transmitted herewith for filing in the U.S. Patent and
Trademark Office is a new U.S. patent application including:

- (a) FORM PTO/SB/05 (03-01) - Utility Patent Application Transmittal;
- (b) FORM PTO/SB/17 (10-01) - Fee Transmittal, with authorization to charge \$450.00 to Deposit Account No. 07-1524 for the filing fee (\$370.00) and the assignment recordal fees (\$80.00);
- (c) Application of fourteen (14) pages, with four (4) claims;

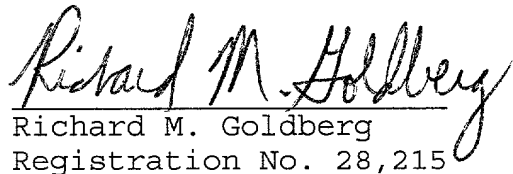
Assistant Commissioner for Patents
November 19, 2001
Page 2

- (d) Five (5) sheets of formal drawings (Figs. 1-8);
- (e) Declaration and Power of Attorney;
- (f) Application Data Sheet;
- (g) Two Assignments and Recordation Form Cover Sheets;
- (h) Preliminary Amendment; and
- (i) Letter to Official Draftsman with three sheets of amended drawings.

APPLICANTS QUALIFY FOR SMALL ENTITY STATUS.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1524.

Respectfully submitted,


Richard M. Goldberg
Registration No. 28,215

RMG:mz
enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Shinichi OKAMOTO et al
SERIAL NO.: UNASSIGNED
FILED : NOVEMBER 19, 2001
FOR : METHOD OF MANUFACTURING PART
FOR OPTICAL FIBER CONNECTOR

Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": ET113254103US
Date of Deposit : NOVEMBER 19, 2001

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Richard M. Goldberg
(Name of Registered Representative)
and person mailing

Richard M. Goldberg NOV. 19, 2001
(Signature and Date)

LETTER TO OFFICIAL DRAFTSMAN

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Dear Sir:

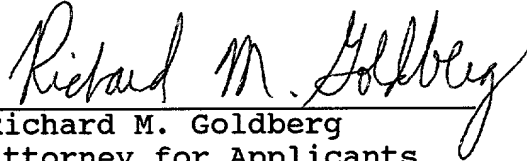
Figs. 1(a) and 1(b), 6 and 7 have been amended, as indicated in red on the attached copies herewith.

Specifically, Fig. 1(a) has been separated into Figs. 1(a)(1) and 1(a)(2), Fig. 1(b) has been separated into Figs. 1(b)(1) and 1(b)(2), numeral 9 has been added to refer to the positive electrode in Fig. 6, and Fig. 7 has been separated into Figs. 7(a) and 7(b).

The Examiner is requested to approve these drawing changes.

Upon approval of the drawing changes and upon allowance of the application, the undersigned will have such drawing changes effected to the formal drawings.

Respectfully submitted,

A handwritten signature in cursive script that reads "Richard M. Goldberg". The signature is written in dark ink and is positioned above a horizontal line.

Richard M. Goldberg
Attorney for Applicants
Registration No. 28,215

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TELETYPE